

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

112843-028

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/914,637

INTERNATIONAL APPLICATION NO.

PCT/EP00/01737

INTERNATIONAL FILING DATE

February 28, 2000

PRIORITY DATE CLAIMED

March 1, 1999

TITLE OF INVENTION

FERRIC FORTIFICATION SYSTEM

APPLICANT(S) FOR DO/EO/US

Sher, A., et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

**Petition for Extension of Time; Renewed Petition Under 37 CFR 1.42; Copy of Decision of Papers Under 37 CFR 1.42;  
Copy of Fiduciary's Probate Certificate; return receipt postcard.**

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <div style="text-align: center;">09/914,637</div>	INTERNATIONAL APPLICATION NO. <div style="text-align: center;">PCT/EP00/01737</div>	ATTORNEY'S DOCKET NUMBER <div style="text-align: center;">112843-028</div>			
24. The following fees are submitted: <b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1040.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>		<div style="border: 1px solid black; padding: 2px;"><b>CALCULATIONS</b>    PTO USE ONLY</div>			
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).		<div style="border: 1px solid black; padding: 2px;"><b>\$0.00</b></div>			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =	0	x \$18.00	<b>\$0.00</b>	
Independent claims	- 3 =	0	x \$84.00	<b>\$0.00</b>	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$0.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$0.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$0.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$0.00</b>	
				<b>Amount to be: refunded</b>	\$
				<b>charged</b>	\$
a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>02-1818</b> A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
<b>SEND ALL CORRESPONDENCE TO:</b> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> <b>Robert M. Barrett (Reg. No. 30,142)</b>  <b>Bell, Boyd &amp; Lloyd LLC</b>  <b>P.O. Box 1135</b>  <b>Chicago, Illinois 60690-1135</b>  <b>Tel: 312/807-4204</b> </div>					
<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> </div> <div style="display: flex; justify-content: space-between;"> <div style="width: 40%;"> <b>SIGNATURE</b>   <b>Robert M. Barrett</b>          NAME   <b>30,142</b>          REGISTRATION NUMBER   <b>October 23, 2002</b>          DATE       </div> <div style="width: 50%;"></div> </div>					

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Sher, A., et al.

Docket No.

112843-028

Serial No.

09/914,637

Filing Date

December 20, 2001

Examiner

Unknown

Group Art Unit

Unknown

Invention: **FERRIC FORTIFICATION SYSTEM**

I hereby certify that the following correspondence:

Transmittal Letter To The U.S. Desig/Elected Office (duplicate); Petition for Extension of Time (duplicate); Renewed Petition Under 37 CFR 1.42 (1 pg./duplicate); Copy of Decision On Papers Under 37 CFR 1.42 (2 pgs.); Executed Dec. and Power Of Attorney (3 pgs.); Copy of Fiduciary's Probate Certificate (1 pg.); check in the amount of \$1440.00; return receipt postcard.

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

October 23, 2002

*(Date)*

**Robert J. Buccieri**

*(Typed or Printed Name of Person Mailing Correspondence)*

*(Signature of Person Mailing Correspondence)*

**EV 152787016 US**

*("Express Mail" Mailing Label Number)*

**Note: Each paper must have its own certificate of mailing.**

FIDUCIARY'S PROBATE  
CERTIFICATE  
PC-450 REV. 10/85  
COURT OF PROBATE, DISTRICT OF New  
Milford/Bridgewater

STATE OF CONNECTICUT

COURT OF PROBATE

DISTRICT NO. 096

ESTATE OF/IN THE MATTER OF

Dharam Vir Vadehra, AKA Dharam Vadehra, AKA Dharam V. Vadehra, AKA  
Van Vadehra (01-0070)

DATE OF CERTIFICATE

April 24, 2001

FIDUCIARY'S NAME AND ADDRESS

Bina Vadehra, 6 Hallett Road, New Milford, CT 06776

FIDUCIARY'S POSITION OF TRUST

Executrix

DATE OF APPOINTMENT

April 24, 2001

*The undersigned hereby certifies that the fiduciary of the above-named estate has accepted appointment; executed bond according to law or has been excused by will or by statute; and is legally authorized and qualified to act as such fiduciary on said estate; said appointment being unrevoked and in full force as of the above date of certificate.*

*Limitation, if any, on the above certificate:*

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seal of this court on the above date of certificate.

.....*Mark F. Long*.....

Judge, ~~Not a Clerk~~

Court  
Seal

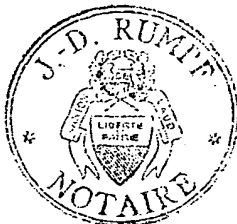
NOT VALID WITHOUT COURT OF PROBATE SEAL IMPRESSED

FIDUCIARY'S PROBATE CERTIFICATE  
PC-450

Brevet  
No 906.-

Attestation de conformité

Le notaire JEAN-DANIEL RUMPF, à Vevey, District de Vevey, certifie que la présente photocopie, sur une feuille de format A4, est la reproduction fidèle et complète du document original qui lui a été présenté. \_\_\_\_\_  
DONT ACTE, délivré en brevet à VEVEY, le QUINZE JANVIER DEUX MILLE DEUX.



*J.D. Rumpf*